



CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: December 21, 2005 Name: Barbara A. LaBarge

Signature:

BRINKS
HOFER
GILSON
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Mark Spellman

Appln. No.: 10/789,599

Filed: February 27, 2004

For: MULTIPLE TUNERS IN A SINGLE RADIO RECEIVER

Attorney Docket No: 11336/602 (P04021US)

Examiner: Not Known

Art Unit: 2681

MAIL STOP AMENDMENT
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Information Disclosure Statement (2 pgs.), PTO Form 1449 (1 pg.), three (3) cited references, and European Search Report (3 pgs.).
- ☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ A petition or processing fee in an amount of \$ _____ under 37 C.F.R. § 1.17(____).
- ☐ An additional filing fee has been calculated as shown below:

| | | | | | Small Entity | | | Not a Small Entity | |
|---|----------------------------------|-------|---------------------------------|---------------|--------------|-----------|----|--------------------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | or | Rate | Add'l Fee |
| Total | | Minus | | | x \$25= | | | x \$50= | |
| Indep. | | Minus | | | x 100= | | | x \$200= | |
| First Presentation of Multiple Dep. Claim | | | | | +\$180= | | | +\$360= | |
| | | | | | Total | \$ | | Total | \$ |

Fee payment:

- ☐ A check in the amount of \$ _____ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$ _____. A copy of this Transmittal is enclosed for this purpose.
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925. A copy of this Transmittal is enclosed for this purpose.

Respectfully submitted,

DEC 21, 2005
Date

Sanders N. Hillis (Reg. No. 45,712)

Certificate Under 37 CFR 1.8(b)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: MAIL STOP AMENDMENT, Commissioner for Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450

on December 21, 2005

Barbara A. LaBarge

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Mark Spellman

Appln. No.: 10/789,599

Filed: February 27, 2004

For: MULTIPLE TUNERS IN A
SINGLE RADIO RECEIVER

Attorney Docket No: 11336/602 (P04021US)

Examiner: Unknown

Art Unit: 2681

Confirmation No. 9045

INFORMATION DISCLOSURE STATEMENT

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, Applicant hereby cites the reference(s) listed below and on the enclosed Form PTO-1449. A copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2) is also enclosed. The below listed references were cited in a European Search Report, a copy of the European Search Report is also enclosed. Applicant respectfully requests the Examiner's consideration of the reference(s) and entry thereof into the record of this application.

| Patent No. | Issue Date | Name | Class | Filing Date |
|-------------|------------|-----------------|---------|-------------|
| 5,471,659 | 11/28/1995 | Wong et al. | 455/132 | 07/26/1993 |
| 5,661,811 | 08/26/1997 | Huermann et al. | 381/25 | 08/25/1994 |
| 5,870,402 | 02/09/1999 | Kelley et al. | 370/497 | 12/12/1996 |
| 2003-053638 | 03/20/2003 | Yasuhara | 381/86 | 09/13/2002 |
| 2003-091069 | 05/15/2003 | Kraemer et al. | 370/487 | 11/15/2001 |

| | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES OR NO |
|----|--|-------------|----------------|----------------------------|----------------------------------|
| A1 | EP 1 265 368 A | 12/11/2002 | Europe | | Yes |
| A2 | EP 0 584 839 A | 03/02/1994 | Europe | | Abstract |
| A3 | EP 0 443 436 A | 08/28/1991 | Europe | | Abstract |

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). In addition, each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Further, information contained herein was first cited in a communication from a foreign patent office in a counterpart application that was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. Applicant has calculated no fee to be due upon filing this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the accompanying Transmittal.

Respectfully submitted,

Dec 21, 2005
Date


Sanders N. Hillis (Reg. No.45,712)

CUSTOMER NO. 27879
(317) 636-0886



| | | |
|---|----------------------------------|-------------------------------------|
| FORM PTO-1449 | SERIAL NO. 10/789,599 | CASE NO. 11336/602 (P04021US) |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE February 27, 2004 | GROUP ART UNIT 2681 |
| (use several sheets if necessary) | APPLICANT(S): Mark Spellman | |

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|---------------------|-----|--|------------|----------------|--------------------|----------------|
| | A1 | 5,471,659 | 11/28/1995 | Wong et al. | 455/132 | 07/26/1993 |
| | A2 | 5,661,811 | 08/26/1997 | Huemann et al. | 381/25 | 08/25/1994 |
| | A3 | 5,870,402 | 02/09/1999 | Kelley et al. | 370/497 | 12/12/1996 |
| | A4 | 2003-053638 | 03/20/2003 | Yasuhara | 381/86 | 09/13/2002 |
| | A5 | 2003-091069 | 05/15/2003 | Kraemer et al. | 370/487 | 11/15/2001 |
| | A6 | | | | | |
| | A7 | | | | | |
| | A8 | | | | | |
| | A9 | | | | | |
| | A10 | | | | | |
| | A11 | | | | | |
| | A12 | | | | | |
| | A13 | | | | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES OR NO |
|---------------------|-----|--|------------|---------|--------------------|--------------------------|
| | A14 | EP 1 265 368 A | 12/11/2002 | Europe | | YES |
| | A15 | EP 0 584 839 A | 03/02/1994 | Europe | | Abstract |
| | A16 | EP 0 443 436 A | 08/28/1991 | Europe | | Abstract |
| | A17 | | | | | |
| | A18 | | | | | |

OTHER ART – NON PATENT LITERATURE DOCUMENTS

| EXAMINER INITIAL | | (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published. |
|---------------------|-----|--|
| | A19 | |
| | A20 | |
| | A21 | |
| | A22 | |
| | A23 | |
| | A24 | |

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|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.